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		PTO/SB/05	(08-00)	(modified
Δr	nroved for use t	brough 9/30/2001	LOMB (1651-0032

NEW UTILITY PATENT APPLICATION **TRANSMITTAL**

(only for new nonprovisional applications under

Approved to Patent and Trademark Off	for use through 9/30/2001, OMB 0651-0 fice: U.S. DEPARTMENT OF COMMER	032 ₹С Е
Attorney Docket Number	80121-06652	4
First Named Inventor	Albert K. Chin	9
Title	TEMPORARY ARTERIAL SHUNT AND METHOD	-071
Express Mail Label No.	EL951616255US	7

	Express Mai	il Label No.	EL951616255	US		
APPL	ICATION ELEMENTS	A	ACCOMPANYING APPLICATION PARTS			
1. Fee Transn 2. Applicant of See 37 CF 3. Specification (preferred arrangen)	nittal Form (in duplicate) claims small entity status. FR 1.27 In Total Pages 20 Inent set forth below) Title of the Invention rence(s) to Related Case(s) Regarding Fed sponsored R & D If of the Invention Interpretation of the Invention Interpretation of the Drawing(s) Inscription Inscription Interpretation of the Disclosure Interpretation of Interpretation of Interpretation Interpretation of Interpretati	7.	Assignment Certified Cop is clai Power of At 37 CFR 3.73 Preliminary information Nonpublicati (b)(2	Papers (cover st py of Priority Doc imed) torney or Authorit 3(b) Statement Amendment Disclosure State Copies of IDS Cit ion Request unde)(B)(i). Applicant /SB/35 or its equ	neet & document(s)) cument(s) (if foreign prior zation of Agent ment & PTO-1449 tation(s) er 35 U.S.C. 122 must attach form	
Sign name 1.63(ETION OF INVENTOR(S) ed statement attached deleting inventor(s) ed in the prior application, see 37 CFR (d)(2) and 1.33(b). Data Sheet. See 37 CFR 1.76		Comm	atent Application	tents	
Application Data Sheet u Continuation Prior application of For CONTINUATION Of Box 5b, is considered a	n 🔲 Divisional 🔲 Continuation-in	part (CIP) sure of the prior tinuing or division	equisite information of prior applica (r application, from the submitted	tion No:/ Group/Art Unit: which an oath or d and is hereby incorp	reliminary amendment or in	
Customer Number and Bar Code Label 00758						
Name (Print/Type)	Albert C. Smith		Registration N	lo. (Attorney/Agent,	20,355	
Signature	a.c.	Su	with	Date	2/27/0	ک

,				Patent and	d Trademark Office: U.	S. DEPARTMENT OF COMMERCE
0002/PTO(modified) U.S. Department of Commerce			Complete if Known			
Rev. 10/2001	· · · · · · · · · · · · · · · · · · ·			Application Number	Unassigned	
				Filing Date	February 27,	, 2002
FEE	TRANS	MITTAL		First Named Inventor	Albert K. Chi	n
				Group Art Unit	Unassigned	
TOTAL AMOUNT OF PAYMENT			Γ	Examiner Name	Unassigned	
Subtotal (1) + Subtotal (2)	+ Subtotal (3) =	(\$) 1,214.0	0	Attorney Docket Nun	nber 80121-06652	2
METHOD C	F PAYMEN	Т		FEE CA	ALCULATION (conti	nued)
1. The Commissioner is	hereby auth	orized to:	3. ADDITION	AL FEES		
			Large Entity	Small Entity		
Charge the indica	ied fees to the t	elow	Fee Code/Fee	Fee Code/Fee	Fee Description	Fee Due

Subtotal (1) + Subtotal (2) + Subtotal (3) - (\$) 1,214.00 Attorney Docket N					<u> </u>			
METHOD OF PAYMENT				FEE CA	LCULATION (continue	ed)		
1. The Commissioner is hereby authorized to:			3. ADDITION	NAL FEES				
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∐ Cha mer	arge the indicated ntioned deposit a	a rees to the belo ccount.)W	Fee Code/Fee	Fee Code/Fee	Fee Description		- ce Due
□ ~:	57		105/ \$130	205/ \$65	Surcharge - late filing fee or	oath		
CFI	arge any addition R 1.16 - 1.21 or o he below mentior	redit any over pa	ayments	127/ \$50	227/ \$25	Surcharge-late provisional fil	ling fee or cover sheet	
		·		147/ \$2,520	147/ \$2,520	For filing a request for reexa	mination	
LJ Apr	plicant claims sm e 37 CFR 1.27	an entity status		115/ \$110	215/ \$55	Extension for response within	n first month†	
				116/ \$400	216/ \$200	Extension for response within	n second month†	
	unt Number: 19		III D	117/\$920	217/\$460	Extension for response within	n third month†	
1 1	unt Name: FEN			118/\$1,440	218/ \$720	Extension for response within	on for response within fourth month†	
	opy of this auth	orization is atta	acned	128/ \$1,960	228/ \$980	Extension for response within	n fifth month†	
, choth.	yment Enclos		☐ Other	119/ \$320	219/ \$160	Notice of Appeal		
AN ELE	CULATION			141/\$1,280	241/\$640	Petition to revive unintention	ally abandoned	
4. FILING F		Fee	Fee			application		
Fee Code/Fee	Fee Code/Fee	Description	Due	142/ \$1,2 80	242/ \$640	Utility Issue Fee (Or Reissue	e)	
101/\$740	201/ \$370	Utility Filing	740	143/ \$460	243/ \$230	Design Issue Fee		
06/\$330	206/\$165	Design Filing		122/ \$130	122/ \$130	Petitions to the Commission	er	
TU	000/4070	D-!		126/ \$180	126/ \$180	Submission of Information D	isclosure Statement	
108/\$/40	208/ \$370	Reissue		179/ \$740	279/ \$370	Request for Continued Exam	nination (RCE)	
114/\$160	214/\$80	Provisional Filing		581/ \$40	581/ \$40	Recording each patent assig		
Transfer of the state of the st	SUBTOTA	L (1) (\$) 7	40		040/2272	(times number of properties)		
2. CLAIMS				146/ \$740	246/ \$370	Filing a submission after fina (37 CFR 1.129(a))	ii rejection	
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Descript	ion	149/ \$740	249/ \$370	For each additional invention (37 CFR 1.129(b))	to be examined	
103/\$18	203/\$9	Claims in exc			·			
102/\$84	202/\$42	Independent	claims		Other fee (specify):			
		in excess of			Other fee (specify):			
104/ \$280	204/ \$140	Multiple depe	endent claim			SUE	STOTAL (3) (\$) 0	
109/\$84	209/\$42	Reissue inde		(Col. 1		(Col. 3)		Foo 1
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110/310	というぎ	of 20 and o		TOTAL 38	minus* 20 or 0		x 18 =	324
		patent		INDEP 6	minus* 3 or 0		x 84 =	252
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	* Subtract the greater number of Col. 2 ** If the difference between Col, 1 and Col. 2 is less than zero, then enter "0" in Col. 3						576	
SUBMITTED BY					Complete (if ap			
Typed or Print		Albert C. Smi	th			Reg. Number	20,355	
Signature			a.	<u>C, S</u>	Sumita	Date	2/27/0) 2
L	L				<u> </u>			

[†] Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

PTO/SB/35 (11-00)
Approved for use through 10/31/2002 OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Na	med Inventor	Albert K. Chin	
Title	TEMPORARY METHOD	ARY ARTERIAL SHUNT AND	
Atty Doc	ket Number	80121-06652	

I hereby certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

2/27/02

Date

Signature

Albert C. Smith, Reg. No. 20,355
Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.